



UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICE OF THE GENERAL COUNSEL

October 17, 2011

Mr. Steven Aftergood
Federation of American Scientists
1725 DeSales Street NW
6th Floor
Washington, DC 20036

Re: Freedom of Information Act (FOIA) Request No. F-12-00002

Dear Mr. Aftergood:

The United States Patent and Trademark Office (USPTO) FOIA Office received your e-mail dated October 03, 2011, in which you requested, under the provisions of the Freedom of Information Act, 5 U.S.C. § 552, a copy of:

“The latest Secrecy Review Activity Report reflecting current activity under the Invention Secrecy Act at the end of Fiscal Year 2011.”

The United States Patent and Trademark Office identified one (1) document that is responsive to your request. A copy of this material is enclosed.

The processing fee was less than \$20.00, and is hereby waived.

Sincerely,

A handwritten signature in black ink, appearing to read "Traci Alexander".

Traci Alexander
FOIA Specialist

Enclosure



UNITED STATES PATENT AND TRADEMARK OFFICE

GENERAL COUNSEL

OCT 22 2004

Mr. Steven Aftergood
Federation of American Scientists
1717 K Street NW, Suite 209
Washington, DC 20036

Re: Freedom of Information Act (FOIA) Request No. 05-007

Dear Mr. Aftergood:

The Office of the General Counsel has received your e-mail dated October 8, 2004, in which you requested, under the provisions of the Freedom of Information Act, 5 U.S.C. § 552, a copy of: "the latest Secrecy Review Activity Report for fiscal year 2004 reflecting activity under the Invention Secrecy Act."

The United States Patent and Trademark Office has identified two pages of documents that are responsive to your request. A copy of this material is enclosed.

It should be noted that this information is often available at:
www.fas.org/sgp/othergov/invention/stats.html. You may wish to consult that site for future Invention Secrecy Act reports.

The processing fee has been waived. See 37 C.F.R. § 102.11(d)(4).

Sincerely,

A handwritten signature in black ink, appearing to read "Robert Fawcett".

Robert Fawcett
Program Analyst

Enclosure

ACTIVITY	FY88	FY89	FY90	FY91	FY92	FY93	FY94
SO's new	630	847	731	774	452	297	205
SO's rescinded	237	413	496	372	543	490	574
Total SO's in effect, end of period	5122	5556	5791	6193	6102	5909	5540
Sponsoring Agencies for new SO's							
ASPAB/DTSA	113 17.9%	153 18.1%	170 23.3%	181 23.4%	75 16.6%	62 20.9%	68 33.2%
ARMY	179 28.4%	107 12.6%	145 19.8%	93 12.0%	50 11.1%	29 9.8%	20 9.8%
NAVY	159 25.2%	275 32.5%	205 28.0%	220 28.4%	132 29.2%	76 25.6%	48 23.4%
AF	166 26.3%	292 34.5%	194 26.5%	265 34.2%	174 38.5%	105 35.4%	58 28.3%
DOE	13 2.1%	20 2.4%	16 2.2%	15 1.9%	21 4.6%	25 8.4%	11 5.4%
NASA	0 0.0%	0 0.0%	1 0.1%	0 0.0%	0 0.0%	0 0.0%	0 0.0%
New DOD SO's imposed by Type							
DOD Type 1 (export control)	299 47.5%	356 42.0%	296 40.5%	362 46.8%	201 44.5%	31 10.4%	6 2.9%
DOD Type 2 (classified/classifiable)	158 25.1%	261 30.8%	151 20.7%	116 15.0%	95 21.0%	89 30.0%	64 31.2%
DOD Type 2 (foreign PSA)	113 17.9%	153 18.1%	170 23.3%	181 23.4%	75 16.6%	60 20.2%	67 32.7%
DOD Type 3	47 7.5%	57 6.7%	97 13.3%	100 12.9%	60 13.3%	92 31.0%	57 27.8%
New Non-DOD SO's imposed (DOE,NASA)	13 2.1%	20 2.4%	17 2.3%	15 1.9%	21 4.6%	25 8.4%	11 5.4%

ACTIVITY	FY95	FY96	FY97	FY98	FY99	FY00	FY01
New Secrecy Orders	124	105	102	151	72	83	83
SO's rescinded	324	277	210	170	210	245	88
Total SO's in effect, end of period	5340	5168	5060	5041	4903	4741	4736
Sponsoring Agencies for new SO's							
ASPAB/DTSA	38 30.6%	16 15.2%	35 34.0%	38 24.7%	0 0.0%	23 27.7%	17 20.5%
ARMY	11 8.9%	14 13.3%	4 3.9%	17 11.0%	24 33.3%	16 19.3%	11 13.3%
NAVY	23 18.5%	39 37.1%	39 37.9%	28 18.2%	13 18.1%	23 27.7%	30 36.1%
AF	37 29.8%	28 26.7%	24 23.3%	67 43.5%	20 27.8%	12 14.5%	25 30.1%
DOE	15 12.1%	8 7.6%	1 1.0%	4 2.6%	15 20.8%	9 10.8%	0 0.0%
NASA	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%
New DOD SO's imposed by Type							
DOD Type 1 (export control)	3 2.4%	14 13.3%	14 13.7%	11 7.3%	5 6.9%	16 19.3%	7 8.4%
DOD Type 2 (classified/classifiable)	31 25.0%	45 42.9%	42 41.2%	37 24.5%	14 19.4%	20 24.1%	22 26.5%
DOD Type 2 (foreign PSA)	33 26.6%	16 15.2%	24 23.5%	15 9.9%	19 26.4%	24 28.9%	21 25.3%
DOD Type 3	42 33.9%	22 21.0%	21 20.6%	84 55.6%	19 26.4%	14 16.9%	33 39.8%
New Non-DOD SO's imposed (DOE,NASA)	15 12.1%	8 7.6%	1 1.0%	4 2.6%	15 20.8%	9 10.8%	0 0.0%
<u>John Doe Sos</u>			<u>23</u>	<u>99</u>	<u>18</u>	<u>24</u>	<u>44</u>

ACTIVITY	FY02		FY03		FY04		FY05	
New Secrecy Orders	139		136		124		106	
SO's rescinded	83		87		80		76	
Total SO's in effect, end of period	4792		4841		4885		4915	
Sponsoring Agencies for new SO's								
ASPAB	60	43.5%	38	27.9%	22	17.7%	23	21.7%
ARMY	15	10.9%	23	16.9%	16	12.9%	14	13.2%
NAVY	16	11.6%	6	4.4%	8	6.5%	8	7.5%
AF	44	31.9%	65	47.8%	69	55.6%	46	43.4%
DOE	3	2.2%	4	2.9%	3	2.4%	6	5.7%
NSA	0	0.0%	0	0.0%	5	4.0%	9	8.5%
NIH	0	0.0%	0	0.0%	1	0.8%	0	0.0%
New DOD SO's imposed by Type								
DOD Type 1 (export control)	13	9.4%	8	5.9%	18	14.5%	11	10.4%
DOD Type 2 (classified/classifiable)	41	29.5%	36	26.5%	27	21.8%	42	39.6%
DOD Type 2 (foreign PSA)	60	43.2%	38	27.9%	23	18.5%	24	22.6%
DOD Type 3	22	15.8%	50	36.8%	52	41.9%	23	21.7%
Non-DOD SO's imposed (DOE,NASA)	3	2.2%	4	2.9%	4	3.2%	6	5.7%
<u>John Doe SOs</u>	<u>37</u>		<u>51</u>		<u>61</u>		<u>32</u>	

ACTIVITY	FY04	FY05	FY06	FY07	FY08	FY09	FY10	FY11
New Secrecy Orders	124	106	108	128	68	103	86	143
SO's rescinded	80	76	81	68	47	45	32	37
Total SO's in effect, end of period	4885	4915	4942	5002	5023	5081	5135	5241
Sponsoring Agencies for new SO's								
Foreign Origin	22 17.7%	23 21.7%	3 2.8%	10 7.8%	10 14.7%	23 22.1%	18 20.9%	33 23.1%
ARMY	16 12.9%	14 13.2%	2 1.9%	22 17.2%	8 11.8%	9 8.7%	12 14.0%	19 13.3%
NAVY	8 6.5%	8 7.5%	36 33.3%	28 21.9%	8 11.8%	39 37.5%	35 40.7%	41 28.7%
AF	69 55.6%	46 43.4%	40 37.0%	45 35.2%	20 29.4%	21 20.2%	13 15.1%	10 7.0%
DOE	3 2.4%	6 5.7%	7 6.5%	0 0.0%	2 2.9%	0 0.0%	1 1.2%	15 10.5%
NSA	5 4.0%	9 8.5%	20 18.5%	21 16.4%	20 29.4%	12 11.5%	6 7.0%	25 17.5%
DTSA				2 1.6%	0 0.0%	0 0.0%	1 1.2%	0 0.0%
NIH	1 0.8%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%
New DOD SO's imposed by Type								
DOD Type 1 (export control)	18 14.5%	11 10.4%	19 17.6%	15 11.7%	21 30.9%	41 39.8%	31 36.0%	33 23.1%
DOD Type 2 (classified/classifiable)	27 21.8%	42 39.6%	52 48.1%	68 53.1%	25 36.8%	24 23.3%	19 22.1%	41 28.7%
DOD Type 2 (foreign PSA)	23 18.5%	24 22.6%	3 2.8%	11 8.6%	10 14.7%	23 22.3%	19 22.1%	33 23.1%
DOD Type 3	52 41.9%	23 21.7%	27 25.0%	34 26.6%	10 14.7%	15 14.6%	16 18.6%	21 14.7%
New Non-DOD SO's imposed (DOE, NASA)	4 3.2%	6 5.7%	7 6.5%	0 0.0%	2 2.9%	0 0.0%	1 1.2%	15 10.5%
John Doe SO's	<u>61</u>	<u>32</u>	<u>29</u>	<u>53</u>	<u>22</u>	<u>21</u>	<u>26</u>	<u>11</u>